




01-12-01

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 1c966 U.S. P. 001 01/11/01	UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No.	THOLAM P139US		
	First Named Inventor or Appln Identified: Kenneth Lloyd WESTRA and Brian MOORE	Title: A METHOD OF MAKING A HIGH RELECTIVITY MICRO MIRROR AND A MICRO MIRROR			
	Express Mail Label No.	EL469354468US			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent appln. contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
<table border="0"><tr><td style="vertical-align: top;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original, and a duplicate for fee processing)</p><p>2. <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 CFR 1.27.)</p><p>3. <input checked="" type="checkbox"/> Specification (Total Pages) [39] (preferred arrangement set forth below)</p><ul style="list-style-type: none">• Descriptive title of the invention• Cross References to Related Applications• Statement Regarding Fed sponsored R & D• Reference to Microfiche Appendix• Background of the Invention• Brief Summary of the Invention<p>• Brief Description of the Drawings (if filed)</p><ul style="list-style-type: none">• Detailed Description• Claim(s)• Abstract of the Disclosure<p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Pages) [12]</p><p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [4]</p><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p><p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p></td><td style="vertical-align: top;"><p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR).</p><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. <input type="checkbox"/> Nucleotide an/or Amino Acid Sequence Submission (if applicable, all necessary)</p><p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p><p>b. <input type="checkbox"/> Specification Sequence Listing on:</p><p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p><p>ii. <input type="checkbox"/> paper</p><p>c. <input type="checkbox"/> Statements verifying identity of above copies</p></td></tr></table>				<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 CFR 1.27.)</p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages) [39] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">• Descriptive title of the invention• Cross References to Related Applications• Statement Regarding Fed sponsored R & D• Reference to Microfiche Appendix• Background of the Invention• Brief Summary of the Invention <p>• Brief Description of the Drawings (if filed)</p> <ul style="list-style-type: none">• Detailed Description• Claim(s)• Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Pages) [12]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [4]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p>	<p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR).</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide an/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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ACCOMPANYING APPLICATION PARTS					
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: Express Mail Certificate</p>					
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) of prior application No.: ____ / ____</p> <p>Prior application information: Examiner _____ Group/Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>					
18. CORRESPONDENCE ADDRESS					
<p><input checked="" type="checkbox"/> Customer Number: 020210</p> <p>Name: DAVIS & BUJOLD, P.L.L.C. 500 North Commercial Street - 4th floor Manchester, NH 03101-1151 United States of America</p> <p>Name: Michael J. Bujold, Esq. Signature: </p>		<p>PATENT & TRADEMARK OFFICE</p>  020210	<p><input type="checkbox"/> Correspondence address below</p> <p>Telephone: 603/624-9220 Telefax: 603/624-9229 E-Mail: patent@tiac.net</p> <p>Registration No.: 32,018 Date: January 11, 2001</p>		

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for FY 2000-01 Patent fees are subject to annual revision Entity payments <u>must</u> be supported by a small statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.		Application No. Filing Date First Named Inventor Group Art Unit Examiner Name		Kenneth Lloyd WESTRA and Brian MOORE																																																																																																																																																																			
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 04-0213 Deposit Account Name: DAVIS & BUJOLD, P.L.L.C. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee/oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for re-examination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Ext.for reply w/in 1 mon</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Ext.for reply w/in 2 mon</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Ext.for reply w/in 3 mon</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Ext.for reply w/in 4 mon</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Ext.for reply w/in 5 mon</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a Brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (for reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petition related to provisional applns.</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Info.Disclo.Stmt.</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording ea. patent assignment per property (times No.of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For ea.additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> </tbody> </table>			Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge-late filing fee/oath		127	50	227	25	Surcharge-late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for re-examination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Ext.for reply w/in 1 mon		116	390	216	195	Ext.for reply w/in 2 mon		117	890	217	445	Ext.for reply w/in 3 mon		118	1,390	218	695	Ext.for reply w/in 4 mon		128	1,890	228	945	Ext.for reply w/in 5 mon		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a Brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (for reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petition related to provisional applns.		126	240	126	240	Submission of Info.Disclo.Stmt.		581	40	581	40	Recording ea. patent assignment per property (times No.of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249	355	For ea.additional invention to be examined (37 CFR 1.129(b))	
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Signature	<i>Michael Bujold</i>	Date: January 11, 2001	Deposit Account User ID	04-0213																																																																																																																																																																			

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Kenneth Lloyd WESTRA and Brian MOORE
For : A METHOD OF MAKING A HIGH RELECTIVITY
MICRO MIRROR AND A MICRO MIRROR
Docket : THOLAM P139US

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: **EL469354468US**

Date of Deposit: January 11, 2001

I hereby state that the following attached paper or fee:

Patent Application Transmittal-1 pg.;
Fee Transmittal Ltr (+Dupl)-1 pg.;
Specification/Claims/Abstract- 39pgs.;
Drawings (Figs. 1-21)- 12pgs.;
Declaration & Power of Atty- 4pgs.;
Assignment & Cover-2 pgs.;
Information Disclosure Statement-1pg.;
PTO Form 1449-1pg.; 13 Citations;
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DUTY OF CANDOR AND GOOD FAITH

The Duty of Disclosure requirements of Section 1.56(a), of Title 27 of the Code of Federal Regulations, are as follows:

A duty of candor and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application, and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Patent Office all information they are aware of which is known to be material to patentability of the application. Such information is material where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.

By virtue of this regulation, each inventor executing the Declaration for the filing of a patent application acknowledges his/her duty to disclose information of which he/she is aware and which may be material to the examination of the application.

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(a) was ever known or used in the United States of America before his/her invention thereof;

(b) was patented or described in any printed publication in any country before his/her invention thereof or more than one year prior to the actual filing date of the United States patent application;

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(d) has been patented or made the subject of inventor's certificate issued before the actual filing date of the United States patent application in any country foreign to the United States on an application filed by him/her or his/her legal representative(s) or assign(s) more than twelve (12) months before the actual filing date in the United States.

NOTE: The "Information" concerned includes, but is not limited to, all published applications and patents, including applicant(s) and assignee(s) own, United States or foreign application(s) and patent(s), as well as any other pertinent prior art known, or which becomes known, to the inventor or his/her representative(s). Where English language equivalents of foreign language documents are known, they should be identified and, when possible, copies supplied. Failure to comply with this requirement may result in a patent issued on the application being held invalid even if the known prior art which is not supplied is material to only one claim of that patent.

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Date: Jan 8/2001

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Jun 9/2001

Ken Werts
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